

FORM PCT/AT99
(Rev. 12-29-99)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371**

030560-056

U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5)

To be assigned

09/830986

INTERNATIONAL APPLICATION NO.

PCT/AT99/00265

INTERNATIONAL FILING DATE

04 November 1999

PRIORITY DATE CLAIMED

04 November 1998

TITLE OF INVENTION

MUCO-ADHESIVE POLYMERS, USE THEREOF AND METHOD FOR PRODUCING THE SAME

APPLICANT(S) FOR DO/EO/US

Andreas BERNKOP-SCHNÜRCH

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and the PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☒ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern other document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment.
 ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information:

Copy of published PCT International Application (WO 00/25823)

Copy of International Search Report

Copy of PCT International Preliminary Examination Report (IPER) (Form PCT/IPEA/416)

Copy of PCT Request (Form PCT/RO/101)

Copy of PCT Information Concerning Elected Offices Notified Of Their Election (Form PCT/IB/332)

Copy of PCT Notice Informing The Applicant Of The Communication Of The International Application
To The Designated Offices (Form PCT/IB/308)

Copy of PCT Notification Concerning Submission or Transmittal of Priority Document (Form PCT/IB/304)

U.S. APPLICATION NO. (If known, enter 37 CFR 1.60) To be assigned 09/830986		INTERNATIONAL APPLICATION NO. PCT/AT99/00265		ATTORNEY'S DOCKET NUMBER 030560-056																													
17. <input checked="" type="checkbox"/> The following fees are submitted:				CALCULATIONS	PTO USE ONLY																												
Basic National Fee (37 CFR 1.492(a)(1)-(5)): Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO \$1,000.00 (960) International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$860.00 (970) International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$710.00 (958) International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$690.00 (956) International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) \$100.00 (962)																																	
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<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 20%;">Claims</th> <th style="width: 20%;">Number Filed</th> <th style="width: 20%;">Number Extra</th> <th style="width: 20%;">Rate</th> </tr> </thead> <tbody> <tr> <td>Total Claims</td> <td>73 -20 =</td> <td>53</td> <td>X\$18.00 (966)</td> </tr> <tr> <td>Independent Claims</td> <td>14 -3 =</td> <td>11</td> <td>X\$80.00 (964)</td> </tr> <tr> <td colspan="3">Multiple dependent claim(s) (if applicable)</td> <td>+ \$270.00 (968)</td> </tr> <tr> <td colspan="3" style="text-align: right;">TOTAL OF ABOVE CALCULATIONS =</td> <td>\$ 2,694.00</td> </tr> <tr> <td colspan="3">Reduction for 1/2 for filing by small entity, if applicable (see below).</td> <td>\$ 1,347.00</td> </tr> <tr> <td colspan="3" style="text-align: right;">SUBTOTAL =</td> <td>\$ 1,347.00</td> </tr> </tbody> </table>				Claims	Number Filed	Number Extra	Rate	Total Claims	73 -20 =	53	X\$18.00 (966)	Independent Claims	14 -3 =	11	X\$80.00 (964)	Multiple dependent claim(s) (if applicable)			+ \$270.00 (968)	TOTAL OF ABOVE CALCULATIONS =			\$ 2,694.00	Reduction for 1/2 for filing by small entity, if applicable (see below).			\$ 1,347.00	SUBTOTAL =			\$ 1,347.00	\$	
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Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 (581) per property +				\$																													
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<p>a. <input checked="" type="checkbox"/> Small entity status is hereby claimed.</p> <p>b. <input checked="" type="checkbox"/> A check in the amount of \$ <u>1,347.00</u> to cover the above fees is enclosed.</p> <p>c. <input type="checkbox"/> Please charge my Deposit Account No. <u>02-4800</u> in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>d. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>02-4800</u>. A duplicate copy of this sheet is enclosed.</p> <p>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</p> <p>SEND ALL CORRESPONDENCE TO:</p> <div style="display: flex; justify-content: space-between; margin-top: 20px;"> <div style="width: 45%;"> <p>Ronald L. Grudziecki BURNS, DOANE, SWECKER & MATHIS, L.L.P. P.O. Box 1404 Alexandria, Virginia 22313-1404 (703) 836-6620</p> <p style="margin-top: 20px;"><u>May 3, 2001</u></p> </div> <div style="width: 45%; text-align: center;"> <p style="font-size: 1.2em; margin: 0;"><i>Donna M. Meuth</i></p> <p style="margin: 0;">SIGNATURE</p> <p style="margin: 10px 0;"><i>Donna M. Meuth</i></p> <p style="margin: 0;">NAME</p> <p style="margin: 10px 0;"><u>36,607</u></p> <p style="margin: 0;">REGISTRATION NUMBER</p> </div> </div>																																	

09/830986

JC08 Rec'd PCT/PTO 03 MAY 2001

Patent

Attorney's Docket No. 030560-056

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of)
)
Andreas BERNKOP-SCHNÜRCH) Group Art Unit: To be assigned
)
Application No.: To be assigned) Examiner: To be assigned
(National Phase of PCT International)
Application No. PCT/AT99/00265))
)
Filed: May 3, 2001)
)
For: MUCO-ADHESIVE POLYMERS,)
USE THEREOF AND METHOD FOR)
PRODUCING THE SAME)
(as amended))

PRELIMINARY AMENDMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Prior to examination of the above-identified application on the merits, please amend
the application as follows:

IN THE TITLE

Please amend the title to read: --MUCO-ADHESIVE POLYMERS, USE THEREOF
AND METHOD FOR PRODUCING THE SAME--.

IN THE ABSTRACT

A new Abstract is attached hereto.

IN THE CLAIMS

Please cancel claims 2-27 without prejudice or disclaimer.

Please replace claim 1 as follows.

1. (Amended) A mucoadhesive polymer comprising not more than 10 different monomers and at least one non-terminal thiol group.

Please add new claims 28-99 as follows.

--28. A polymer as set forth in claim 1, said polymer comprising at least 0.05 μmol of covalently bound thiol groups per gram of polymer.

29. A polymer as set forth in claim 1, said polymer comprising at least 0.1 μmol of covalently bound thiol.

30. A polymer as set forth in claim 1, said polymer being selected from the group consisting of a thiolated copolymer of acrylic acid and divinyl glycol, thiolated chitosan, thiolated sodium carboxymethylcellulose, thiolated sodium alginate, thiolated sodium hydroxypropylcellulose, thiolated hyaluronic acid, thiolated pectin and derivatives of said thiolated polymers.

31. A polymer as set forth in claim 1, wherein said thiol groups are cysteine groups.

32. A polymer as set forth in claim 31, wherein said cysteine groups are bound to said polymer via an amide bond.

33. A polymer as set forth in claim 1, wherein said polymer includes at least one monomer having free thiol groups within said polymer.

34. A polymer as set forth in claim 1, said polymer exhibiting a total work of adhesion (TWA) of more than 120 μ J to intestinal mucosa at a pH of 7.

35. A polymer as set forth in claim 1, said polymer exhibiting a total work of adhesion (TWA) of more than 150 μ J to intestinal mucosa at a pH of 7.

36. A polymer as set forth in claim 1, said polymer exhibiting a total work of adhesion (TWA) increased by at least 30% relative to a mucoadhesive polymer not containing at least one non-terminal thiol group, measured at a pH optimum of the total work of adhesion (TWA) of the thiolated polymer.

37. A polymer as set forth in claim 1, said polymer exhibiting a total work of adhesion (TWA) increased by at least 50% relative to a mucoadhesive polymer not containing at least one non-terminal thiol group, measured at a pH optimum of the total work of adhesion (TWA) of the thiolated polymer.

38. A polymer as set forth in claim 1, said polymer exhibiting a total work of adhesion (TWA) increased by at least 100% relative to a mucoadhesive polymer not

containing at least one non-terminal thiol group, measured at a pH optimum of the total work of adhesion (TWA) of the thiolated polymer.

39. A pharmaceutical composition comprising a mucoadhesive polymer having not more than 10 different monomers and at least one non-terminal thiol group, and at least one active substance capable of being taken up via mucosae.

40. A pharmaceutical composition as set forth in claim 39, wherein said active substance is non-covalently bound to said polymer.

41. A pharmaceutical composition as set forth in claim 39, said pharmaceutical composition being provided in a form selected from the group consisting of a tablet, a suppository, a pellet, eyedrops, nosedrops, eardrops, an eye-gel, a nose-gel, an ear-gel, an application for inhalation, microparticles and nanoparticles.

42. A pharmaceutical composition as set forth in claim 39, wherein said active substance is a substance whose activity is enhanced by thiol groups.

43. A pharmaceutical composition as set forth in claim 42, wherein said active substance enhanced by thiol groups is a thiol-dependent enzyme.

44. A pharmaceutical composition as set forth in claim 43, wherein said thiol-dependent enzyme is selected from the group consisting of papain and subtilisin.

45. A pharmaceutical composition as set forth in claim 39, wherein said composition is in a form suitable for peroral administration.

46. A pharmaceutical composition as set forth in claim 39, wherein said active substance is in a form suitable for delayed release.

47. A pharmaceutical composition as set forth in claim 46, wherein said active substance is present within a polymer tablet and said active substance is capable of penetrating through the polymer coat upon administration to a patient.

48. A method of enhancing permeation of active substances through mucosa in an individual, said method comprising administering to said individual an effective amount of a pharmaceutical composition comprising a mucoadhesive polymer having not more than 10 different monomers and at least one non-terminal thiol group, and at least one active substance capable of being taken up via a mucosa.

49. A method as set forth in claim 48, wherein said pharmaceutical composition comprises an active (poly)peptide substance.

50. A method of enhancing permeation of active substances through mucosa in an individual, said method comprising administering to said individual an effective amount of a pharmaceutical composition comprising

a mucoadhesive polymer having not more than 10 different monomers and at least one non-terminal thiol group, wherein said mucoadhesive polymer is selected from the group consisting of a thiolated copolymer of acrylic acid and divinyl glycol, thiolated chitosan, thiolated sodium carboxymethylcellulose, thiolated sodium alginate, thiolated sodium hydroxypropylcellulose, thiolated hyaluronic acid, thiolated pectin and derivatives of these thiolated polymers, and

at least one active substance capable of being taken up via a mucosa.

51. A method according to claim 50, wherein said thiol groups are cysteine groups.

52. A method as set forth in claim 50, wherein said mucosa is an intestinal mucosa.

53. A method of treating an individual in need of a treatment wherein the active ingredient is taken up via mucosae, said method comprising administering to said individual an effective amount of a pharmaceutical composition comprising a mucoadhesive polymer having not more than 10 different monomers and at least one non-terminal thiol group and at least one active substance to be taken up via mucosae, wherein said active ingredient is

capable of adhering to a mucosa selected from the group consisting of intradermal, intraocular and intraarticular mucosa.

54. A method of inhibiting enzymes in an individual, said method comprising administering to said individual an effective amount of a pharmaceutical composition which comprises a mucoadhesive polymer having not more than 10 different monomers and at least one non-terminal thiol group, and at least one active substance capable of inhibiting enzymes.

55. A method of inhibiting zinc ion-dependent enzymes in an individual, said method comprising administering to said individual an effective amount of a pharmaceutical composition which comprises a mucoadhesive polymer having not more than 10 different monomers and at least one non-terminal thiol group, and at least one active substance capable of inhibiting zinc ion-dependent enzymes.

56. A method of preparing a mucoadhesive polymer, said method comprising providing base polymers assembled of not more than 10 different monomers, wherein at least one of the non-terminal monomers includes a terminal, functional group I, said functional group I being free within said polymer, providing thiol-containing compounds, said thiol-containing compounds including at least one further functional group II, wherein said functional groups I and II are together capable of forming a covalent bond, and

reacting said base polymers with said thiol-containing groups, said functional group I thereby forming a covalent bond with said functional group II.

57. A method as set forth in claim 56, further comprising adding coupling reagents when reacting said base polymers with said thiol-containing compounds.

58. A method as set forth in claim 57, wherein said functional group I is a carboxyl group and said functional group II is an amino group.

59. A method as set forth in claim 58, wherein said amino group is a primary amino group.

60. A method as set forth in claim 57, wherein said coupling reagents are carbodiimides, and amide bonds are formed.

61. A method as set forth in claim 56, wherein said thiol-containing compound is a mercapto-compound comprising a primary amino group.

62. A method as set forth in claim 61, wherein said thiol-containing compound is selected from the group consisting of cysteine and a cysteine derivative.

63. A method as set forth in claim 56, wherein said reacting of said base polymers with said thiol-containing groups is performed at a pH of between 4 and 8.

64. A method as set forth in claim 56, wherein said reacting of said base polymers with said thiol-containing groups is performed at a pH of between 5.5 and 6.5.

65. A method as set forth in claim 56, further comprising adjusting said prepared polymer to a pH of between 5 and 9.

66. A method as set forth in claim 56, further comprising adjusting said prepared polymer to a pH of between 6.5 and 8.5.

67. A method of preparing a pharmaceutical composition comprising a mucoadhesive polymer having not more than 10 different monomers and at least one non-terminal thiol group, said method comprising combining a mucoadhesive polymer having not more than 10 different monomers and at least one non-terminal thiol group with at least one active substance capable of being taken up via mucosae.

68. A method as set forth in claim 67, wherein said polymer is not covalently bound during said combining of said mucoadhesive polymer with said active substance.

69. A method as set forth in claim 67, wherein said mucoadhesive polymer and said active substance are combined by co-lyophilizing said polymer and said active substance.

70. A method of improving mucoadhesion of a polymer, said method comprising introducing laterally arranged thiol groups into a polymer, and applying said polymer with said thiol groups introduced thereinto to a mucus layer so as to form disulfide bonds between said polymer and said mucus layer.

71. A method as set forth in claim 53, wherein said drug further comprises at least one active substance to be taken up via said mucosa.

72. A polymer as set forth in claim 30, wherein said derivatives are selected from the group consisting of derivatives obtained by auto-cross-linking, introduction of functional groups, attachment of complexing agents and coupling of enzyme inhibitors.

73. A polymer as set forth in claim 72, wherein said complexing agent is selected from the group consisting of EDTA.

74. A mucoadhesive polymer comprising not more than 10 different monomers and at least one non-terminal thiol group, wherein said polymer is selected from the group consisting of a thiolated copolymer of acrylic acid and divinyl glycol, thiolated chitosan,

thiolated sodium carboxymethylcellulose, thiolated sodium alginate, thiolated sodium hydroxypropylcellulose, thiolated hyaluronic acid, thiolated pectin and derivatives of said thiolated polymers.

75. A polymer as set forth in claim 74, wherein said derivatives are selected from the group consisting of derivatives obtained by auto-cross-linking, introduction of functional groups, attachment of complexing agents and coupling of enzyme inhibitors.

76. A polymer as set forth in claim 75, wherein said complexing agent is selected from the group consisting of EDTA.

77. A polymer as set forth in claim 74, wherein said thiol groups are cysteine groups.

78. A polymer as set forth in claim 77, wherein said cysteine groups are bound to said polymer via an amide bond.

79. A polymer as set forth in claim 74, wherein said polymer includes at least one monomer having free thiol groups within said polymer.

80. A polymer as set forth in claim 74, said polymer exhibiting a total work of adhesion (TWA) increased by at least 50% relative to a mucoadhesive polymer not

containing at least one non-terminal thiol group, measured at a pH optimum of the total work of adhesion (TWA) of the thiolated polymer.

81. A polymer as set forth in claim 74, said polymer comprising at least 0.1 μ mol of covalently bound thiol.

82. A pharmaceutical composition comprising
a mucoadhesive polymer having not more than 10 different monomers and at least one non-terminal thiol group, wherein said polymer is selected from the group consisting of a thiolated copolymer of acrylic acid and divinyl glycol, thiolated chitosan, thiolated sodium carboxymethylcellulose, thiolated sodium alginate, thiolated sodium hydroxypropylcellulose, thiolated hyaluronic acid, thiolated pectin and derivatives of said thiolated polymers, and
at least one active substance capable of being taken up via mucosae.

83. A pharmaceutical composition as set forth in claim 82, wherein said active substance is non-covalently bound to said polymer.

84. A pharmaceutical composition as set forth in claim 82, wherein said active substance is a substance whose activity is enhanced by thiol groups.

85. A pharmaceutical composition as set forth in claim 84, wherein said active substance enhanced by thiol groups is a thiol-dependent enzyme.

86. A pharmaceutical composition as set forth in claim 85, wherein said thiol-dependent enzyme is selected from the group consisting of papain and subtilisin.

87. A method of enhancing permeation of active substances through mucosa in an individual, said method comprising administering to said individual an effective amount of a pharmaceutical composition according to claim 82.

88. A method as set forth in claim 87, wherein said pharmaceutical composition comprises an active (poly)peptide substance.

89. A method of treating an individual in need of a treatment which will adhere to a mucosa layer, said method comprising administering to said individual an effective amount of a pharmaceutical composition according to claim 82, wherein said pharmaceutical composition adheres to a mucosa layer selected from the group consisting of intradermal, intraocular and intraarticular mucosa.

90. A method of inhibiting enzymes in an individual, said method comprising administering to said individual an effective amount of a pharmaceutical composition according to claim 82, wherein said active substance is capable of inhibiting enzymes.

91. A method of inhibiting zinc ion-dependent enzymes in an individual, said method comprising administering to said individual an effective amount of a pharmaceutical composition according to claim 82, wherein said active substance is capable of inhibiting zinc ion-dependent enzymes.

92. A method of preparing a mucoadhesive polymer, said method comprising providing base polymers assembled of not more than 10 different monomers, wherein at least one of the non-terminal monomers includes a terminal, functional group I, said functional group I being free within said polymer and wherein said functional group I is a carboxyl group,

providing thiol-containing compounds, said thiol-containing compounds including at least one further functional group II, wherein said functional group II is an amino group,

reacting said base polymers with said thiol-containing groups, said functional groups I thereby forming a covalent bond with said functional groups II,

and obtaining a mucoadhesive polymer.

93. A method as set forth in claim 92, further comprising adding at least one coupling reagent when reacting said base polymers with said thiol-containing compounds.

94. A method as set forth in claim 92, wherein said amino group is a primary amino group.

95. A method as set forth in claim 93, wherein said coupling reagents are carbodiimides, and amide bonds are formed.

96. A method as set forth in claim 92, wherein said thiol-containing compound is selected from the group consisting of cysteine and a cysteine derivative.

97. A method as set forth in claim 92, wherein said base polymers are reacted with said thiol-containing groups at a pH of between 5.5 and 6.5.

98. A method of improving mucoadhesion of polymers, said method comprising introducing laterally arranged thiol groups into a polymer having no more than 10 different monomers, and applying said polymers with said thiol groups introduced thereinto to a mucus layer so as to form disulfide bonds between said polymer and said mucus layer.

99. A method as set forth in claim 98, wherein said thiolated polymer is selected from the group consisting of a thiolated copolymer of acrylic acid and divinyl glycol, thiolated chitosan, thiolated sodium carboxymethylcellulose, thiolated sodium alginate, thiolated sodium hydroxypropylcellulose, thiolated hyaluronic acid, thiolated pectin and derivatives of said thiolated polymers.--

REMARKS

Entry of the foregoing prior to examination of the above-identified application is respectfully requested.

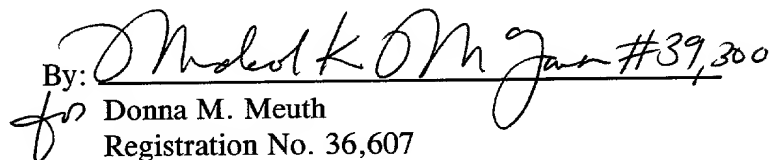
By this amendment, the claims have been rewritten to eliminate multiple dependencies and to add claims directed to preferred embodiments of the invention. Support for the new claims may be found at the very least in the original claims. No new matter has been added.

Early and favorable action in the form of a Notice of Allowance is respectfully requested.

In the event that there are any questions relating to this amendment or to the application in general, it would be appreciated if the Examiner would contact the undersigned attorney by telephone at (508) 339-3684 so that prosecution may be expedited.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

By:  for Donna M. Meuth Jan #39,300
Registration No. 36,607

P.O. Box 1404
Alexandria, Virginia 22313-1404
(703) 836-6620

Date: May 3, 2001

ABSTRACT OF THE DISCLOSURE

Mucoadhesive polymers, the use thereof and a production method therefor.

Mucoadhesive polymers assembled of not more than 10 different monomers and comprising at least one non-terminal thiol group, as well as drugs containing these polymers are described.

030560-056

Attachment to Preliminary Amendment dated April 20, 2001

Marked-up Claim 1

1. (Amended) A mucoadhesive polymer [, characterized in that
 - it is assembled of] comprising not more than 10 different monomers and
 - [● comprises] at least one non-terminal thiol group.

FILED

7/PRTS

JC08 Rec'd PCT/PTO 03 MAY 2007

Mucoadhesive polymers, the use thereof and a production method therefor

The invention relates to mucoadhesive polymers, drugs comprising such polymers as well as the use of mucoadhesive polymers.

Since the introduction of the concept of bioadhesion in the pharmaceutical literature, many attempts have been made in university and industrial fields to improve the bioadhesive properties of various polymers. These attempts included the neutralization of ionogenic polymers (Tobyn et al., Eur.J.Pharm.Biopharm. 42 (1996) 56-61), the precipitation of polymers in organic solvents, and their drying in air instead of lyophilization (Bernkop-Schnürch et al., Int.J.Pharm. 165 (1998) 217-225), the development of polymer-lectin conjugates (Naisbett et al., Int.J.Pharm. 107 (1994) 223-230) as well as conjugates of polymers and bacterial adhesin (Bernkop-Schnürch et al., J.Pharm.Sci. 3 (1995) 293-299).

These systems which have been described are all based on the formation of non-covalent bonds, such as, e.g., hydrogen bonds or ionic interactions, with which only a weak bond is enabled which in many cases is insufficient for a satisfactory localization of the active substance-delivery system at a certain target site.

That mucus layer which coats GI epithelia mainly

consists of mucus glycoproteins which comprise a central region with numerous O-linked oligosaccharide chains and two flanking cysteine-rich subdomains on either side. These cysteine-rich subdomains contain more than 10% Cys in their primary structures which are involved when mucin monomers are linked to give oligomers via disulfide bonds. In this manner, a three-dimensional network of the mucus gel layer is built up.

The object of the present invention consists in providing improved mucoadhesive polymers which enable a targeted introduction of active substance in mucus layers, wherein a stable presence at the target site shall be enabled. By this invention, an effective and efficient active substance delivery system shall be enabled by which an improved and thus also extended adhesion of drug on the mucosae can be attained.

According to the invention, this object is achieved by a mucoadhesive polymer which is characterized in that it is assembled of not more than 10 different monomers and comprises at least one non-terminal thiol group. By the targeted introduction of thiol groups in polymers known to have mucoadhesive properties or by creating completely new thiol-containing polymers, the specific structure of mucus layers is utilized in a specific manner: It has been known that the mucolytic activity of thiols, such as, e.g., N-acetyl cysteine, is based on disulfide exchange reactions

between glycoproteins in the mucus and the mucolytically active agent. Based on such exchange reactions, both intra- and also inter-molecular disulfide bonds in the glycoprotein structure of the mucus are cleaved, thereby dissolving the mucus layer. Based on this observation, according to which a mucolytic substance is covalently bound to glycoproteins in mucus, according to the invention the hypothesis was set up that other thiol-containing compounds, in particular polymers with thiol-groups, also could covalently be bound to a mucus layer. Surprisingly, it has been found that this hypothesis not only is completely accurate, but also acts so specifically that it provides an efficient drug delivery system. In particular, it has been shown that in contrast to mucolytic thiols, the polymers according to the invention do not have any substantial mucolytic activity.

It has been shown that the polymers according to the invention are capable of forming reversible, covalent bonds with the cysteine-rich subdomains of the mucus glycoproteins (cf. Fig. 1), such bonds allowing for a stable localization of the polymers on certain mucosae in the mucus.

Preferably, the polymers according to the invention comprise at least 0.05 μmol , in particular at least 0.1 μmol , covalently bound thiol groups per gram of polymer. Usually, the polymers according to the in-

vention comprise 1-500 μmol thiol groups per gram of polymer, in particular 10-100 μmol . This not only allows for an efficient binding to the mucus glycoproteins, but also enhances their mucoadhesive properties due to advantageous hydration effects and internal cohesion.

Preferably, the polymers according to the invention are prepared by thiolation of polymers which have already been known to have mucoadhesive properties. In doing so, such mucoadhesive property substantially is enhanced and improved. Therefore, the polymer of the invention preferably is selected from a thiolated polycarbophil (a copolymer of acrylic acid and divinyl glycol), thiolated chitosan, thiolated sodium carboxymethylcellulose, thiolated sodium alginate, thiolated sodium hydroxypropylcellulose, thiolated hyaluronic acid and thiolated pectin. For the non-thiolated base polymers, the mucoadhesive property has, e.g., been described in Smart et al. (J.Pharm.Pharmacol. 36 (1984) 295-299).

Of course, also the thiolated derivatives of the above-mentioned polymers are preferred. Examples of such derivatives comprise derivatives obtained by auto-cross-linking, introduction of functional groups, attachment of complexing agents (such as, e.g., EDTA), coupling of enzyme inhibitors, etc., in particular in case of polymers comprising negatively charged groups,

e.g. COO^- groups.

According to the invention, such thiolation may be effected by all types of chemical reactions, by which thiol groups are bound to polymers, in particular to water-soluble polymers. For economical reasons, the use of cysteine groups lends itself for thiolation because the latter are easy and inexpensive to obtain. Cysteine groups may preferably be bound to the polymer via an amide bond.

On the other hand, the polymer according to the invention may also be prepared in that in the course of producing said polymer, at least one monomer is (co)-polymerized with thiol groups, which monomer comprises free thiol groups in the polymer, i.e. the thiol group is not directly reacted in the polymerization reaction. Such a polymer which comprises at least one monomer that has free thiol groups in the polymer is also preferred according to the invention.

Preferred polymers according to the invention are also characterized by a high binding capacity to intestinal mucosa which, measured as total work of adhesion (TWA) is higher than $120 \mu\text{J}$, in particular higher than $150 \mu\text{J}$ (at pH 7). A system suitable for measuring such TWA will be described in the examples.

According to the invention, preferably polymers are used which, compared to the TWA of the non-thiolated polymer, have an increased TWA. Preferably, this

increase in TWA is 50% or more, in particular 100% or more, measured at the pH optimum of the TWA of the thiolated polymer.

In a further aspect the present invention relates to a drug comprising a polymer according to the invention and at least one active substance which is taken up via the mucosae. Since a targeted application of active substances on mucus layers is possible with the polymers of the invention, the drug according to the invention is superior to all previously known systems of delivering an active substance to mucus layers, both as regards its specificity and as regards its general applicability.

Preferably, the active substance is non-covalently bound to the polymer, thus enabling an administration of the active substance at the target site by diffusion. The manner in which the active substance and the polymer are intermingled or interlinked is not critical, co-lyophilization i.a. being just as usable as air drying, gelling etc.. Also the manner in which the drug is finally confectioned is not critical, preferably, however, it is provided as a tablet, suppository, pellet, eye, nose, ear drops or gels, in a form to be administered by inhaling or in the form of micro(nano) particles.

As the active substances, preferably substances will be considered which are known to have an activity

on the mucus layer, in particular those substances which have a comparatively short elimination half life in blood, e.g. less than 3 hours. On account of an improved and extended adhesion of the active substance in the active substance delivery systems based on the thiolated polymers of the invention, which systems, moreover, allow for a controlled release of the active substance over several hours, the frequency of ingestion for such active substances can be reduced dramatically.

According to a preferred embodiment, the drug of the invention contains active substances which are enhanced by thiol groups, preferably thiol-dependent enzymes, in particular papain and subtilisin.

In a further aspect the present invention relates to the polymer of the invention as a drug and to the use of a polymer of the invention for preparing a drug, in particular a mucoadhesive drug. Preferably, this drug can be administered perorally.

The inventive form of administration also allows for a delayed release of the active substance, e.g. by providing the active substance within a polymer tablet, wherein the delay is effected by the active substance having to penetrate through the polymer coat. In this respect, particularly the improved swelling behavior of the polymers of the invention caused by the thiol groups plays an important role.

According to the invention, administration of the drug to patients is effected in an efficient dose, wherein the dose may be in line with dosages described in the prior art for the respective active substance. In this respect, however, two aspects need to be taken into consideration: on the one hand, the form of administration according to the invention is quite more targeted and more efficient than the known administration (by the same route of administration), and on the other hand, the permeation of active substances through the mucosa can be enhanced by the polymers of the invention.

Accordingly, a preferred embodiment of the present invention relates to the use of the polymer of the invention for preparing an agent for enhancing the permeation of active substances, in particular of high-molecular, hydrophilic substances, e.g. active (poly) peptide substances, through a mucosa, preferably through the intestinal mucosa.

It has been shown that the polymers according to the invention are also capable of binding certain ions, in particular zinc ions. By administering the polymer of the invention, zinc ions of the polymer will be bound at the site of adhesion, whereby enzymes, in particular enzymes dependent on zinc ions, are inhibited. An inhibition of enzymes may also be effected by the enzymes directly binding to the polymer according to

the invention. The present invention thus also relates to the use of the polymer according to the invention for preparing an agent for inhibiting enzymes, in particular enzymes dependent on zinc-ions. Examples thereof are particularly zinc-dependent enzymes in the gastro-intestinal tract, such as carboxy peptidases A and B.

In a further aspect, the present invention also relates to the use of the polymers according to the invention at non-mucous contact layers, with the improved adhesion properties to biological (proteinaceous) material also being utilized. In particular, applications in visco-surgery (intraocular surgical interventions, cataract treatment), intradermal applications (cosmetic, yet also therapeutical ones; e.g. the smoothing of wrinkles, or tissue augmentation), yet also intraarticular, in particular synovial, applications are under consideration.

As mentioned above, the preparation of the polymers of the invention is not critical; a preferred method of preparing the polymers according to the invention is characterized in that base polymers assembled of not more than 10 different monomers, wherein at least one of the non-terminal monomers comprises a terminal, functional group I that is free within the polymer, are reacted with thiol-containing compounds comprising at least one further functional group II,

the functional groups I and II forming a covalent bond with each other during this reaction, optionally with the use of coupling reagents.

Preferably, functional group I in this method is a carboxyl group, and functional group II is an amino group, preferably a primary amino group, an amide bond being formed. Coupling reagents, in particular carbo-diimides, may preferably be used in the reaction.

According to a preferred embodiment, a mercapto compound having a primary amino group, preferably cysteine or a cysteine derivative, is used as the thiol-containing compound.

Preferably, the reaction is carried out at a pH of between 4 and 8, in particular at 5.5 to 6.5.

The polymer prepared according to the invention may be adjusted to a certain pH, preferably to a pH of between 5 and 9, in particular from 6.5 to 8.5.

In a further aspect, the present invention also relates to a method of improving the mucoadhesion of polymers, which method is characterised in that laterally arranged thiol structures are introduced into these polymers, resulting in the formation of disulfide bonds between the polymer and the mucus layer.

The invention will now be described in more detail and with reference to the following examples and the drawing figures, wherein

Fig. 1 shows the principle of the covalent binding

of the polymers of the invention to the mucus layer;

Fig. 2 shows the disintegration of thiolated polymers as compared to the non-modified polymers;

Fig. 3 shows the release profile of rifampicin from thiolated and non-thiolated CMC (Fig. 3A) and thiolated and non-thiolated PCP (Fig. 3B);

Fig. 4 shows the permeation effect via intestinal mucosa;

Fig. 5 shows the device for measuring the mucoadhesive properties;

Fig. 6 shows the binding of L-cysteine to thiolated PCP.

Example 1: Preparation of a polymer according to the invention

10 g of polycarbophil (Noveon AA1, from BF Goodrich) were suspended in portions in 100 ml of a 4% (m/m) methanolic NaOH solution with continuous stirring. The resultant sodium salt of the polymer is filtered off and washed with methanol until the filtrate has a neutral pH. Subsequently, the polymer is dried at room temperature in the exsiccator. One gram of neutralized polycarbophil is hydrated in 250 ml of demineralized water, and the carboxylic acid groups of the polymer are pre-activated at room temperature for 45 min and under stirring with 1-ethyl-3-(3-dimethylamino propyl)-carbodiimide hydrochloride which is added to a final concentration of 50 mM. To prevent an oxidation

of the L-cysteine subsequently added, the pH of the solution is adjusted with 5 N HCl to pH 4 and admitted with N₂ gas for 15 minutes. After the addition of 0.5 g of L-cysteine, the pH of the solution optionally is re-adjusted with HCl or NaOH, respectively, to a pH of 4-5, and the reaction mixture is stirred for 3 h at room temperature and under supply of N₂ gas. The polycarbo-phil-cysteine conjugate is dialyzed against an aqueous 1 mM HCl and 2 μ M EDTA solution, twice against the same dialysis medium yet additionally containing 1% NaCl, and subsequently exhaustively against 0.5 mM HCl at 10°C under the exclusion of air. Thereafter, the pH of the conjugate is adjusted with 1 N NaOH to pH 5. The isolated conjugate is freeze-dried at -30°C. Storage is effected at 4°C.

Various polycarbophil(PCP)-cysteine conjugates were prepared which had the following thiol group concentrations (in μ mol/g of polymer): PCP-Cyst 1:4: 142.2 \pm 38.0 μ mol/g polymer; PCP-Cyst 1:2: 12.4 \pm 2.3; PCP-Cyst 2:1: 5.3 \pm 2.4; PCP-Cyst 4:1: 3.2 \pm 2.0; PCP-Cyst 8:1: 2.9 \pm 1.4; PCP-Cyst 16:1: 0.6 \pm 0.7; PCP-Cyst 32:1: 0.3 \pm 0.5; control: (PCP+Cyst without reaction): 0.00 \pm 0.00 (this demonstrated the efficiency of purification).

Especially the PCP-Cyst conjugates 1:2 and 1:4 ex-

hibited a significantly (>100%) higher water uptake capacity as compared to the non-modified polymer.

In mucin binding studies (binding of porcine mucin to the polymers) it could be demonstrated that mucins were effectively bound to the polymer-cysteine conjugate tested (in contrast to the non-modified polymers).

The binding strengths (TWA) of the polymers of the invention to mucins of the intestinal mucosa were tested substantially as in Ch'ng et al. (J.Pharm.Sci. 74 (1985) 399-405), carried out as described in Bernkop-Schnürch et al. (Pharm.Res.16(6)(1999),876-881)

Both in adhesion tests and in ex-vivo studies on mucosa excized porcine small intestines in synthetic intestinal fluid consisting of 50 mM Tris-HCl buffer, pH 6.8, containing 0.9% NaCl, the polymer(polycarbophil)-cysteine conjugate described here exhibited a clearly higher adhesive capability than polycarbophil pre-treated in the same manner, to which, however, no cysteine had covalently been bound.

It could be demonstrated that with the polymers according to the invention, the adhesive action relative to non-modified polymer (PCP) could be increased by at least 100%. Thus, e.g., with the polymer-cysteine conjugate 16:1, a TWA of $191 \pm 47 \mu\text{J}$, and with the 2:1 conjugate, a TWA of $280 \pm 67 \mu\text{J}$ could be attained, while the unmodified polymer had a TWA of $104 \pm 21 \mu\text{J}$.

It has been shown that the increase in the TWA had an optimum at pH 6.8, yet even at pH 3 positive effects of the thiolated compound occur relative to the starting polymer.

Example 2: Assays for the disintegration of the polymers according to the invention

Carboxymethyl cellulose-cysteine conjugate (CMC-cysteine conjugate) and PCP-cysteine conjugate prepared according to the invention were lyophilized and brought into a matrix tablet form. Likewise, tablets comprising the corresponding, non-modified polymers were prepared. The stability of the polymer tablets (30 mg) in 5 ml of 50 mM Tris-HCl-buffered physiological saline solution (TBS), pH 6.8 at 37°C, was analyzed with a disintegration assaying apparatus according to European pharmacopoeia with an oscillation frequency of 0.5 per s.

It has been shown that the tablets of thiolated polymers had a substantially higher stability than the non-modified polymers. In the assay, matrix tablets containing the CPC-cysteine conjugate were even stable for several days. The results are illustrated in Fig. 2, the disintegration time being given in hours on the y-axis.

This high stability of the tablets of the polymers according to the invention can be explained by the formation of disulfide bonds in the polymers, by which in-

directly also an improved adhesion of the matrix system is made possible, since detachment of the drug from the mucosa by breaking off the bond within the drug can be highly reduced. This improved stability has also substantial practical implications and offers various advantages as compared to the known polymer-carrier systems, primarily as regards the reduction of pre-systemic metabolisms in active polypeptidic substances in the intestines.

Example 3: Release tests

Conjugates prepared according to the invention (CMC-cysteine conjugate and PCP-cysteine conjugate) were hydrated in demineralized water and placed into acetone or 1N NaOH, respectively, thus highly increasing viscosity. After washing with acetone or with methanol, respectively, it was air-dried and powdered.

Tablets were produced consisting of 1 mg of rifampicin as model active substance and 29 mg of the CMC-cysteine conjugate or of the PCP-cysteine conjugate, respectively, as well as the corresponding, non-modified polymers. Subsequently, the *in vitro* release rate of this active substance delivery system was analyzed by placing the tablets into 25 ml containers containing 10 ml of release medium (50 mM TBS, pH 6.8). The containers were closed and incubated on an oscillating water bath at $37 \pm 0.5^{\circ}\text{C}$. 600 μl aliquots were

taken at one-hour intervals and replaced by equal volumes of release medium. Released rifampicin was photometrically quantitated at 470 nm by means of a calibration curve.

The results are represented in Fig. 3A (for CMC) and Fig. 3B (for PCP), the time being plotted in hours on the x-axis and the percent of released rifampicin on the y-axis.

It has been shown that with the systems according to the invention, a substantially more efficient release is obtained, demonstrating the high potential of the polymers of the invention primarily in view of the disintegration results. A controlled active substance release was achieved for an extended period of time in an efficient manner.

Example 4: Activity of the polymers of the invention as permeation enhancer

2 mg of fluorescein isothiocyanate (FITC) were dissolved in 1 ml of DMSO and added in aliquot volumes of 25 μ l to 40 mg of bacitracin (dissolved in 20 ml, 0.1M, Na_2CO_3). To stop the coupling reaction after 8 h at 4°C, ammonium chloride was added in a final concentration of 50 mM. The FITC conjugate formed was isolated by gel filtration over Sephadex G15 and lyophilized.

Permeation tests were carried out with this modified peptide at 37°C, using Ussing compartments in

pieces of small intestines of guinea pigs. The donor and the acceptor chambers were each filled with 1 ml of a solution containing 250 mM sodium chloride, 2.6 mM magnesium sulfate, 10.0 mM calcium chloride, 40.0 mM glucose and 50 mM sodium hydrogen carbonate (pH 7.2). The bacitracin-FITC conjugate was added to the donor compartment in a final concentration of 0.1% (m/v). Aliquot volumes of 200 μ l were taken from the acceptor compartment at certain points of time and replaced by the same medium. The influence of PCP and thiolated PCP (PCP-Cyst) which had been prepared according to the invention on the permeation behavior of the modified peptide was tested by the addition of 0.5% (m/v) of PCP and 0.5% (m/v) of PCP-Cyst. The amount of permeated bacitracin-FITC conjugate was determined with a fluorimeter. Likewise, the changes in the transepithelial electric resistance were monitored.

It could be demonstrated that bacitracin having a molecular weight of 1422 Da can permeate the intestinal mucosa to a certain degree. A degradation due to digestive enzymes could be excluded because of its enzyme-inhibiting activity. The addition of 0.5% PCP led to a 1.2-fold increase in the transport of the model peptide through the membrane, while the use of the polymers prepared according to the invention allowed for a significantly higher increase (about the 1.5-fold) in the permeation. As comparative experiment, it could be

shown that cysteine per se had no influence on permeation, whereby the significant effect of the polymers of the invention has been proven.

The results of this experiment have been illustrated in Fig. 4, with the time in minutes being indicated on the x-axis and the permeation in percent of the entire dose being given on the y-axis: (○: PCP ■:PCP-Cyst ♦: control).

Example 5: In vitro-mucoadhesion tests

PCP (molecular weight more than 700 kDa) was neutralized with NaOH. The carboxylic acid groups of hydrated, neutralized PCP and hydrated CMC (molecular weight about 1000 kDa) were activated for 45 min by adding 1-ethyl-3-(3-dimethyl aminopropyl)-carbodiimide-hydrochloride (EDAC; Sigma) in a final concentration of 50 mM. L-cysteine hydrochloride was added, and the pH of the reaction mixture was adjusted to 4-5. The molar ratio of EDAC to L-cysteine was 50:3.2 and 50:1 for the coupling reactions with PCP and CMC, respectively. The pH of the coupling reaction with CMC was kept constant by adding 1 N HCl. The reaction mixtures were incubated for 3 h at room temperature. The polymer-cysteine conjugates obtained were isolated by dialysis at 10°C in the dark against 1 mN HCl. Subsequently, the pH of these polymers was adjusted with 1 N NaOH at pH 3, pH 5 or pH 7 and lyophilized. The thiolated polymers obtained had 12.3 μmol (PCP conjugate) and 22.3 μmol (CMC

conjugate) thiol groups/g of polymers.

The mucoadhesion tests were carried out with an apparatus according to U.S. pharmacopoeia (cf. Fig. 5): a freshly excised intestinal mucosa from pig was tensioned on a steel cylinder (diameter 4.5 cm, height 5.1 cm, apparatus 4 cylinders, USP XXII). This cylinder was introduced into the dissolution apparatus containing 100 mM TBS, pH 6.8, at 37°C and moved at 250 rpm. The polymers were pressed to 30 mg tablets with a diameter of 5.0 mm, applied to the mucosa and observed for a period of 10 hours. The results are given in the following table:

<i>Polymer</i>	<i>pH 3</i>	<i>pH5</i>	<i>pH7</i>
PCP control	7.5 ±1.35 dis.	4.8 ±1.35 det.	4.6 ±1.39 dis.
PCP-Cys	7.55 ±1.15 dis.	>10	2.25 ±0.87 det.
CMC control	2.0 ±0.35 det.	2.5 ±0.5 dis.	1.5 ±0.91 det.
CMC-Cys	3.9 ±1.02 det.	3.0 ±0.35 det.	1.7 ±0.57 det.

dis..disintegration

det..detachment

It has been shown that the polymers according to the invention have clearly improved properties relative to the non-thiolated starting polymers. It has been shown that in the system according to the invention, the cooperation of the properties: capabilities of adhesion to the mucosa, binding mechanism of the inventive polymers to the mucosa, increased cohesion and

swelling behavior give rise to an optimum adhesion process allowing for a superior drug supply by optimum adhesion to the mucus layers.

Example 6: Enzyme inhibition effects

The inhibition effect of PCP-cysteine conjugates and non-modified neutralizing PCP relative to carboxypeptidase A and carboxypeptidase B were tested. In doing so, the following was tested in enzyme activity tests described for these enzymes:

0.5 mg of the polymers or of the L-cysteine, respectively, and 0.5 units of carboxypeptidase A from bovine pancreas were incubated in 400 μ l of 25 mM Tris-HCl, pH 6.8, containing 2.9% NaCl for 30 minutes at room temperature. After centrifugation, 300 μ l of supernatant were put into 300 μ l of 2 mM hippuryl-L-phenyl-alanine, the increase in the absorption being measured at 254 nm at 1 minute intervals.

The polymers (1 mg) and carboxypeptidase B (0.62 units) from bovine pancreas were incubated in a total volume of 600 μ l for 30 minutes at 37°C. After centrifugation, 400 μ l of supernatant were put into 400 μ l of 2 mM hippuryl-L-arginine, the increase in absorption being measured at 258 nm at 1 minute intervals.

It has been shown that the already present inhibitory effect of PCP relative to carboxypeptidases A and B could be significantly increased by immobilizing cysteine on the polymer. Since the binding affinity of PCP

relative to zinc could be increased by the 1.13-fold by immobilizing cysteine on the polymer ($68.7 \pm 1.9\%$ zinc are bound to PCP, whereas 97.8 ± 0.5 are bound to the PCP-cysteine), and these exopeptidases are not bound to the polymers, it is clear that the increase in the inhibiting effect is due to the higher zinc affinity of the polymers according to the invention.

Example 7: Binding of cysteine to the polymer according to the invention

In cysteine-binding studies, 0.5% (m/v) of the PCP-cysteine conjugate prepared and 0.1% (m/v) of L-cysteine were incubated at 37°C at various pH values. The results have been illustrated in Fig. 6: on the x-axis, the time in hours is given, and on the y-axis the bound cysteine is given in % of the theoretical maximum which can be bound to the polymer.

From these binding studies it is clearly apparent that the polymers according to the invention can covalently adhere to cysteine partial structures in biological systems, and thus are also suitable for applications in which an improved adhesion to non-mucous contact areas, such as, i.e., in intradermal, intraarticular and intraocular applications.

Claims:

1. A mucoadhesive polymer, characterized in that
 - it is assembled of not more than 10 different monomers and
 - comprises at least one non-terminal thiol group.
2. A polymer according to claim 1, characterized in that it comprises at least 0.05 μmol , in particular at least 0.1 μmol , of covalently bound thiol groups per gram of polymer.
3. A polymer according to claim 1 or 2, characterized in that the polymer is selected from thiolated copolymer of acrylic acid and divinyl glycol, thiolated chitosan, thiolated sodium carboxymethylcellulose, thiolated sodium alginate, thiolated sodium hydroxypropylcellulose, thiolated hyaluronic acid and thiolated pectin or derivatives of these thiolated polymers.
4. A polymer according to any one of claims 1 to 3, characterized in that the thiol groups are cysteine groups which preferably are bound to the polymer via an amide bond.
5. A polymer according to any one of claims 1 to 4, characterized in that it comprises at least one monomer

which comprises free thiol groups in the polymer.

6. A polymer according to any one of claims 1 to 5, characterized in that it has a total work of adhesion (TWA) of more than 120 μ J, in particular more than 150 μ J, to intestinal mucosa at pH 7.

7. A polymer according to any one of claims 1 to 6, characterized in that compared to the TWA of the non-thiolated polymer, it has an at least 30% increased TWA, measured at the pH optimum of the TWA of the thiolated polymer, preferably, a TWA which is increased by 50% or more, in particular by 100% or more.

8. A drug comprising a polymer according to any one of claims 1 to 7 and at least one active substance which is taken up via the mucosae.

9. A drug according to claim 8, characterised in that the active substance is non-covalently bound to the polymer.

10. A drug according to claim 8 or 9, characterised in that it is provided as a tablet, suppository, pellet, eye-, nose-, ear-drops or -gels, in a form to be administered by inhaling or in the form of micro(nano) particles.

11. A drug according to any one of claims 8 to 10, characterized in that it comprises active substances which are enhanced by thiol groups, preferably thiol-dependent enzymes, in particular papain and subtilisin.

12. The use of a polymer according to any one of claims 1 to 7 for preparing a drug.

13. The use of a polymer according to any one of claims 1 to 7 for preparing a mucoadhesive drug.

14. The use of a polymer according to any one of claims 1 to 7 for preparing a drug for peroral administration.

15. The use of a polymer according to any one of claims 12 to 14, characterized in that a drug is prepared whose active substance is released with delay.

16. The use of a polymer according to any one of claims 1 to 7 for preparing an agent for increasing the permeation of active substances, in particular of active (poly)peptide substances, through the mucosa, in particular through the intestinal mucosa.

17. The use of a polymer according to any one of

claims 1 to 7 for preparing an agent for intradermal, intraocular or intraarticular application.

18. The use of a polymer according to any one of claims 1 to 6 for preparing an agent for inhibiting enzymes, in particular zinc ion-dependent enzymes.

19. A method of preparing a polymer according to any one of claims 1 to 6, characterized in that base polymers which are assembled of not more than 10 different polymers, wherein at least one of the non-terminal monomers comprises a terminal functional group I that is free within the polymer, are reacted with thiol-containing compounds comprising at least one further functional group II, the functional groups I and II forming a covalent bond with each other during this reaction, optionally with the use of coupling reagents.

20. A method according to claim 19, characterized in that the functional group I is a carboxyl group, and the functional group II is an amino group, preferably a primary amino group, and that coupling reagents, in particular carbodiimides, are used in the reaction, an amide bond being formed.

21. A method according to claim 19 or 20, characterized in that a mercapto compound having a primary amino

group, preferably cysteine or a cysteine derivative, is used as the thiol-containing compound.

22. A method according to any one of claims 19 to 21, characterized in that the reaction is carried out at a pH of between 4 and 8, in particular at pH 5.5 to 6.5.

23. A method according to any one of claims 19 to 22, characterized in that the polymer prepared is adjusted to a pH of between 5 and 9, in particular a pH from 6.5 to 8.5.

24. A method for preparing a drug according to any one of claims 8 to 11, characterized in that a polymer according to any one of claims 1 to 7 is combined with an active substance.

25. A method according to claim 24, characterized in that at combining, the active substance is not covalently bound by the polymer.

26. A method according to claim 24 or 25, characterized in that the polymer and the active substance are co-lyophilized.

27. A method of improving the mucoadhesion of polymers, characterized in that laterally arranged thiol

Abstract:

Mucoadhesive polymers, the use thereof and a production
method therefor

Mucoadhesive polymers assembled of not more than 10
different monomers and comprising at least one non-ter-
minal thiol group, as well as drugs containing these
polymers are described.

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FIG. 1

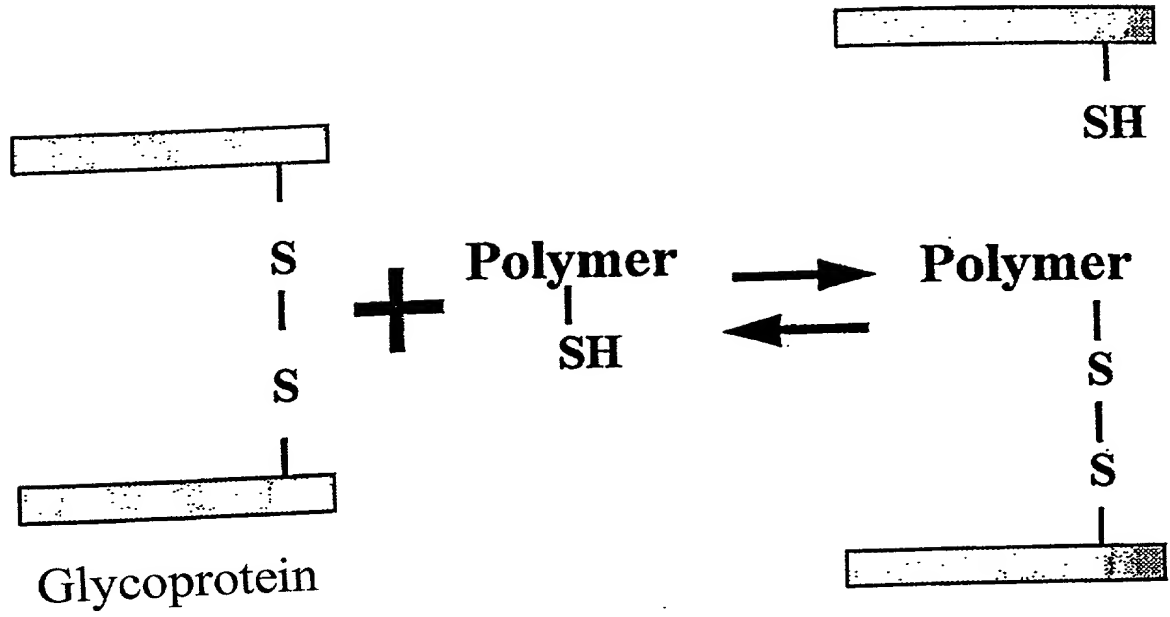


FIG. 2

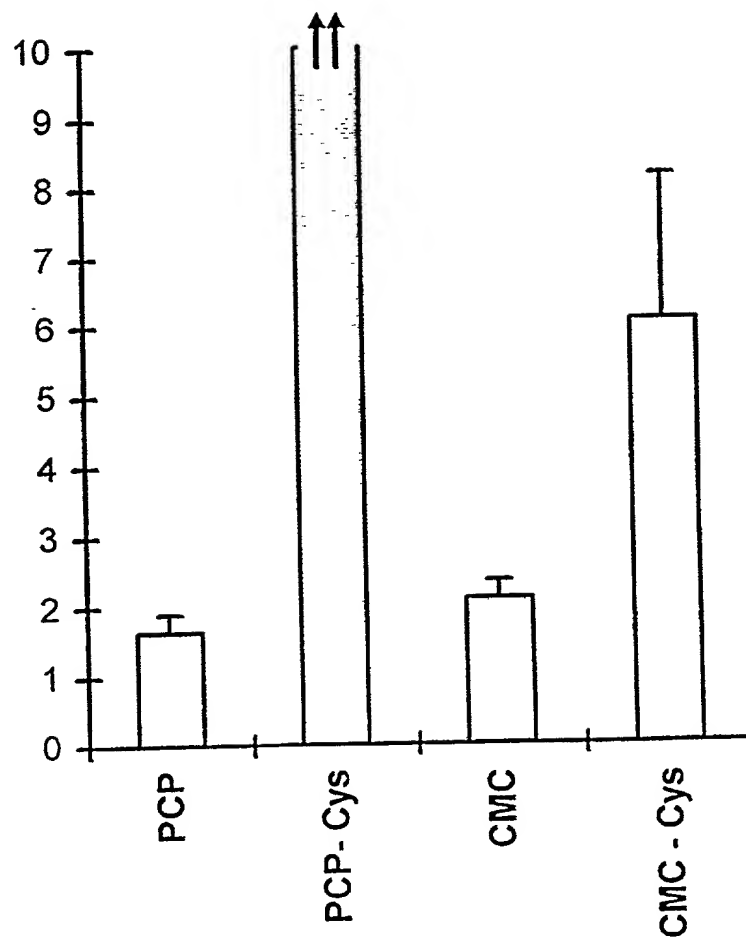


FIG. 3A

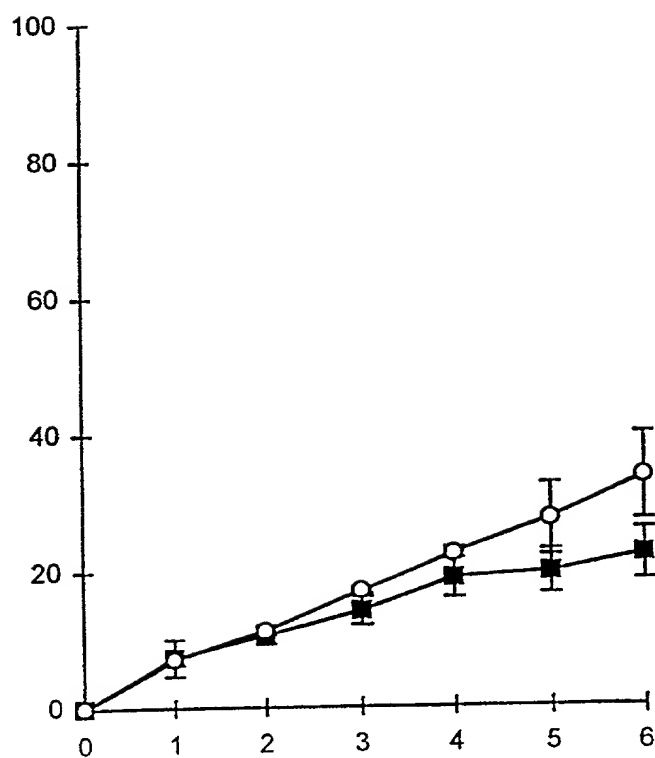
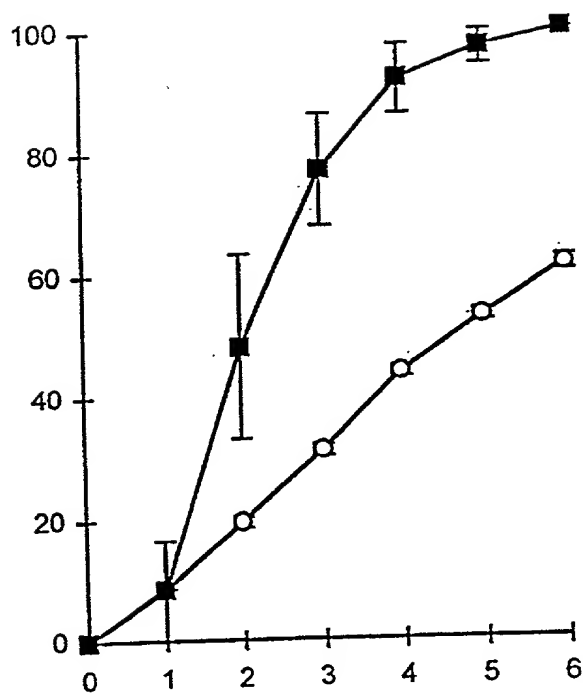


FIG. 3B



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FIG. 4

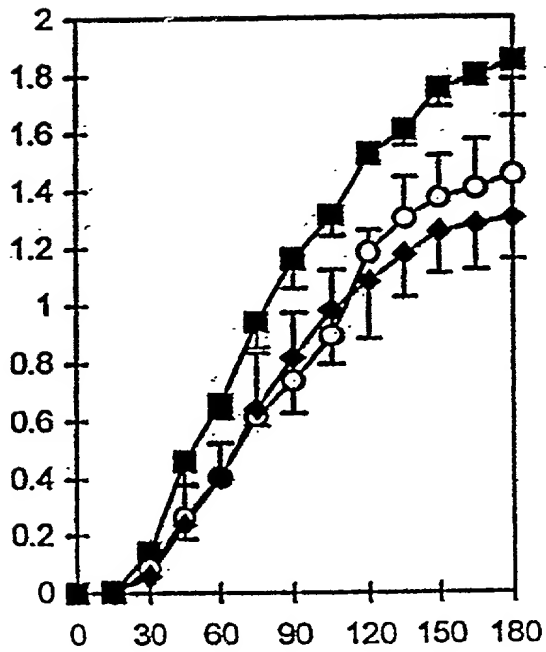


FIG. 5

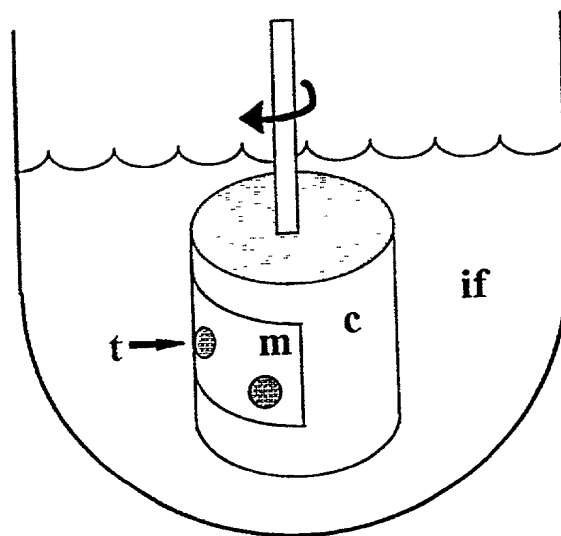
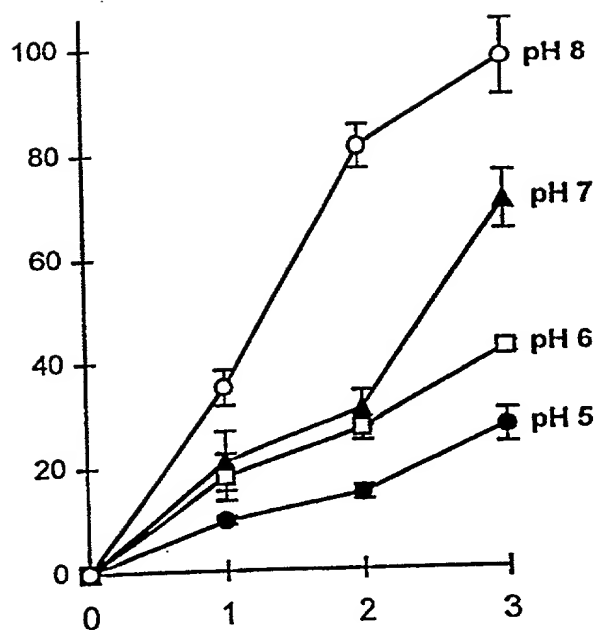


FIG. 6



COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY
(Includes Reference to Provisional and PCT International Applications)

ATTORNEY'S DOCKET NUMBER

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name;

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

Muco-Adhesive Polymers, Use thereof and Method for Producing the Same

the specification of which (check only one item below):

☐ is attached hereto.

☐ was filed as United States application

Number _____

on _____

and was amended

on _____ (if applicable).

☒ was filed as PCT international application

Number PCT/AT99/00265

on 4 November 1999

and was amended under PCT Article 19

on _____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119 (a)-(e) of any foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed:

PRIOR FOREIGN/PCT APPLICATION(S) AND ANY PRIORITY CLAIMS UNDER 35 U.S.C. §119:

COUNTRY (if PCT, indicate "PCT")	APPLICATION NUMBER	DATE OF FILING (day, month, year)	PRIORITY CLAIMED UNDER 35 U.S.C. §119
Austria	A 1828/98	4 November 1998	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
			<input type="checkbox"/> Yes <input type="checkbox"/> No
			<input type="checkbox"/> Yes <input type="checkbox"/> No
			<input type="checkbox"/> Yes <input type="checkbox"/> No
			<input type="checkbox"/> Yes <input type="checkbox"/> No

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below.

(Application Number)

(Filing Date)

(Application Number)

(Filing Date)

COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY (CONTINUED)
(Includes Reference to Provisional and PCT International Applications)

ATTORNEY'S DOCKET NO.

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) or PCT international application(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in that/those prior application(s) in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose to the Office all information known to me to be material to the patentability as defined in Title 37, Code of Federal Regulations §1.56, which became available between the filing date of the prior application(s) and the national or PCT international filing date of this application:

PRIOR U.S. APPLICATIONS OR PCT INTERNATIONAL APPLICATIONS DESIGNATING THE U.S. FOR BENEFIT UNDER 35 U.S.C. 120:

U.S. APPLICATIONS		STATUS (check one)		
U.S. APPLICATION NUMBER	U.S. FILING DATE	PATENTED	PENDING	ABANDONED
PCT APPLICATIONS DESIGNATING THE U.S.				
PCT APPLICATION NO.	PCT FILING DATE	U.S. APPLICATION NUMBERS ASSIGNED (if any)		

I hereby appoint the following attorneys and agent(s) to prosecute said application and to transact all business in the Patent and Trademark Office connected therewith and to file, prosecute and to transact all business in connection with international applications directed to said invention:

William L. Mathis	<u>17,337</u>	Samuel C. Miller, III	<u>27,360</u>	Robert M. Schulman	<u>31,196</u>
Peter H. Smolka	<u>15,913</u>	Ralph L. Freeland, Jr.	<u>16,110</u>	William C. Rowland	<u>30,888</u>
Robert S. Swecker	<u>19,885</u>	Robert G. Mukai	<u>28,531</u>	T. Gene Dillahunty	<u>25,423</u>
Platon N. Mandros	<u>22,124</u>	George A. Hovanec, Jr.	<u>28,223</u>	Patrick C. Keane	<u>32,858</u>
Benton S. Duffett, Jr.	<u>22,030</u>	James A. LaBarre	<u>28,632</u>	Bruce J. Boggs, Jr.	<u>32,344</u>
Joseph R. Magnone	<u>24,239</u>	E. Joseph Gess	<u>28,510</u>	William H. Benz	<u>25,952</u>
Norman H. Stepno	<u>22,716</u>	R. Danny Huntington	<u>27,903</u>	Peter K. Skiff	<u>31,917</u>
Ronald L. Grudziecki	<u>24,970</u>	Eric H. Weisblatt	<u>30,505</u>	Richard J. McGrath	<u>29,195</u>
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Regis E. Slutter	<u>26,999</u>	Robert E. Krebs	<u>25,885</u>	Gerald F. Swiss	<u>30,113</u>

and: _____

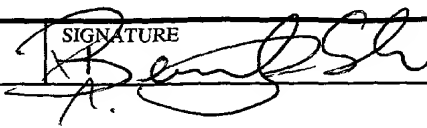
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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

37739

COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY (CONTINUED) (Includes Reference to Provisional and PCT International Applications)		ATTORNEY'S DOCKET NO.
FULL NAME OF SOLE OR FIRST INVENTOR <u>Andreas BERNKOP-SCHNÜRCH</u>		SIGNATURE 
RESIDENCE <u>Vienna, Austria ATX</u>		DATE <u>03/13/01</u>
CITIZENSHIP <u>Austrian</u>		
POST OFFICE ADDRESS <u>Christophgasse 6/11, A-1050 Wien, Austria</u>		
FULL NAME OF SECOND JOINT INVENTOR, IF ANY		SIGNATURE
RESIDENCE		DATE
CITIZENSHIP		
POST OFFICE ADDRESS		
FULL NAME OF THIRD JOINT INVENTOR, IF ANY		SIGNATURE
RESIDENCE		DATE
CITIZENSHIP		
POST OFFICE ADDRESS		
FULL NAME OF FOURTH JOINT INVENTOR, IF ANY		SIGNATURE
RESIDENCE		DATE
CITIZENSHIP		
POST OFFICE ADDRESS		
FULL NAME OF FIFTH JOINT INVENTOR, IF ANY		SIGNATURE
RESIDENCE		DATE
CITIZENSHIP		
POST OFFICE ADDRESS		
FULL NAME OF SIXTH JOINT INVENTOR, IF ANY		SIGNATURE
RESIDENCE		DATE
CITIZENSHIP		
POST OFFICE ADDRESS		
FULL NAME OF SEVENTH JOINT INVENTOR, IF ANY		SIGNATURE
RESIDENCE		DATE
CITIZENSHIP		
POST OFFICE ADDRESS		
FULL NAME OF EIGHTH JOINT INVENTOR, IF ANY		SIGNATURE
RESIDENCE		DATE
CITIZENSHIP		
POST OFFICE ADDRESS		
FULL NAME OF NINTH JOINT INVENTOR, IF ANY		SIGNATURE
RESIDENCE		DATE
CITIZENSHIP		
POST OFFICE ADDRESS		